# Identification in  $\mathbb{Z}^2$  using Euclidean balls<sup>\*</sup>

# Ville Junnila†

Turku Centre for Computer Science TUCS and Department of Mathematics University of Turku, FI-20014 Turku, Finland viljun@utu.fi

### Tero Laihonen

Department of Mathematics University of Turku, FI-20014 Turku, Finland terolai@utu.fi

### Corresponding author:

Ville Junnila Department of Mathematics University of Turku, FI-20014 Turku, Finland

e-mail: viljun@utu.fi Telephone: +358 2 333 6675 Fax: +358 2 333 6595

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#### Abstract

The concept of identifying codes was introduced by Karpovsky, Chakrabarty and Levitin. These codes find their application, for example, in sensor networks. The network is modelled by a graph. In this paper, the goal is to find good identifying codes in a natural setting, that is, in a graph  $\mathcal{E}_r = (V, E)$  where  $V = \mathbb{Z}^2$  is the set of vertices and each vertex (sensor) can check its neighbours within Euclidean distance  $r$ . We also consider a graph closely connected to a well-studied king grid, which provides optimal identifying codes for  $\mathcal{E}_{\sqrt{5}}$  and  $\mathcal{E}_{\sqrt{13}}$ .

Keywords: Identifying code; optimal code; Euclidean distance; sensor network; fault diagnosis

### 1 Introduction

Let  $G = (V, E)$  be a simple connected and undirected graph with V as the set of vertices and  $E$  as the set of edges. A nonempty subset of  $V$  is called a *code*, and its elements are called *codewords*. Let  $u$  and  $v$  be vertices of  $V$ . Then we say that u covers v if the vertices u and v are adjacent, i.e. there exists an edge between the vertices. The ball centered at u is defined as

$$
B(G; u) = \{u\} \cup \{v \in V \mid u \text{ covers } v\}.
$$

The ball  $B(G; u)$  can also be written in short as  $B(u)$  if the underlying graph G is known from the context. For a subset  $U \subseteq V$ , we denote

$$
B(U) = B(G;U) = \bigcup_{u \in U} B(G;u).
$$

If  $U = \{u_1, u_2, \ldots, u_k\}$ , then we can also write  $B(G; U) = B(G; u_1, u_2, \ldots, u_k) =$  $B(u_1, u_2, \ldots, u_k).$ 

Let  $C \subseteq V$  be a code and X be a subset of V. The size of the set X is denoted by  $|X|$ . The *I-set* of X with respect to the code C is

$$
I(X) = I(C; X) = I(G, C; X) = B(G; X) \cap C.
$$

Let also  $Y$  be a subset of  $V$ . The *symmetric difference* of  $X$  and  $Y$  is defined as  $X \triangle Y = (X \setminus Y) \cup (Y \setminus X)$ .

**Definition 1.1.** Let  $\ell$  be a positive integer. A code  $C \subseteq V$  is said to be  $\ell$ -set*identifying* in G if for all  $X, Y \subseteq V$  such that  $|X| \leq \ell$ ,  $|Y| \leq \ell$  and  $X \neq Y$  we have

$$
I(X) \neq I(Y).
$$

If  $\ell = 1$ , then we simply say that C is *identifying*.

In other words, a code  $C \subseteq V$  is  $\ell$ -set-identifying in G if and only if for all  $X, Y \subseteq V$  such that  $|X| \leq \ell$ ,  $|Y| \leq \ell$  and  $X \neq Y$  we have

$$
I(X) \bigtriangleup I(Y) \neq \emptyset.
$$

The  $\ell$ -set-identifying codes defined above are called  $(1, \leq \ell)$ -identifying codes in the terminology of, for example, [12].

Identifying codes were introduced in [15] for finding malfunctioning processors in a multiprocessor system. The topic forms an active field of research; see the numerous articles in the web-page [17] with various aspects considered; for a recent development we refer to [1, 7, 16]. Identifying codes find [18, 19] applications also in sensor networks. The network is modelled by a graph  $G = (V, E)$ . The sensors correspond to a code  $C$  ( $\subseteq V$ ) and  $B(u)$  is the set of vertices which the sensor  $u$  can check. The idea is that we determine the exact locations of objects (like faulty processor)  $X \subseteq V$  using only the alarm signals (that is, the set  $I(C; X)$  obtained from the sensors of  $C$  — this can be done provided that C is  $\ell$ -set-identifying and  $|X| \leq \ell$ .

Assume now that the vertex set V is equal to  $\mathbb{Z}^2$ . Let then t be a positive integer and  $\mathbf{u} = (x, y)$  be a vertex in  $\mathbb{Z}^2$ . The graph  $\mathcal{S}_t$  with the ball

$$
B(\mathcal{S}_t; \mathbf{u}) = \{ (x', y') \in \mathbb{Z}^2 \mid |x - x'| + |y - y'| \le t \}
$$

is called the *square grid*. The graph  $\mathcal{K}_t$  with the ball

$$
B(\mathcal{K}_t; \mathbf{u}) = \{ (x', y') \in \mathbb{Z}^2 \mid |x - x'| \le t, |y - y'| \le t \}
$$

is called the king grid. The graphs  $S_t$  and  $K_t$  are illustrated in Figure 1. The  $\ell$ -set-identification in  $\mathcal{S}_t$  and  $\mathcal{K}_t$  have been studied, for example, in [4, 10, 13] and [5, 8], respectively.



Figure 1: (a) The ball  $B(\mathcal{E}_{\sqrt{5}}; (0,0))$  and the code  $C_2$  (defined in Section 2.2) illustrated. (b) The ball  $\check{B}(\mathcal{S}_3; (0,0))$  illustrated. (c) The ball  $B(\mathcal{K}_3; (0,0))$ illustrated.

Let now r be a positive real number. Let again  $V = \mathbb{Z}^2$ . The graph  $\mathcal{E}_r =$  $(V, E)$  is defined by the edge set E such that vertices **u** and **v** in  $\mathbb{Z}^2$  are adjacent if the Euclidean distance of **u** and **v** is at most r. If  $\mathbf{u} = (x, y) \in \mathbb{Z}^2$ , then the ball

$$
B(\mathcal{E}_r; \mathbf{u}) = \{ (x', y') \in \mathbb{Z}^2 \mid (x - x')^2 + (y - y')^2 \le r^2 \}.
$$

Obviously,  $S_1 = \mathcal{E}_1$ ,  $\mathcal{K}_1 = \mathcal{E}_{\sqrt{2}}$ ,  $S_2 = \mathcal{E}_2$  and  $\mathcal{K}_2 = \mathcal{E}_{2\sqrt{2}}$ . The graph  $\mathcal{E}_{\sqrt{5}}$  is illustrated in Figure 1. For larger values of t, the shape of the ball  $B(u)$  in the graphs  $\mathcal{K}_t$  and  $\mathcal{S}_t$  is a square as can be seen in Figure 1. In this paper, we consider identification in the case when  $B(u)$  is an Euclidean ball, which is a natural area for a sensor in  $\mathbb{Z}^2$  to check. In other words, the aim is to find good  $\ell$ -set-identifying codes in  $\mathcal{E}_r$  for any real number  $r \geq 1$ . The motivation for considering different balls in  $\mathbb{Z}^2$  also comes from [3] and [14, Section 5].

In order to measure codes in  $\mathbb{Z}^2$ , we define the notion of density of codes. For this, we first define

$$
T_n = \{ (x, y) \in \mathbb{Z}^2 \mid |x| \le n, |y| \le n \},\
$$

where *n* is a positive integer. Now the *density* of a code  $C \subseteq \mathbb{Z}^2$  is defined as

$$
D(C) = \limsup_{n \to \infty} \frac{|C \cap T_n|}{|T_n|}.
$$

Naturally, we seek identifying codes with density as small as possible. We say that an  $\ell$ -set-identifying code is *optimal*, if there does not exist any identifying codes with lower density.

In the sequel we will need the following result from [4, Proposition 1].

**Theorem 1.2** ([4]). Let  $G = (V, E)$  be a simple connected and undirected graph. Let  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3 \in V$  be three vertices of G and C be an identifying code in G. Then the set  $H(\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3) = (B(\mathbf{u}_1) \triangle B(\mathbf{u}_2)) \cup (B(\mathbf{u}_1) \triangle B(\mathbf{u}_3)) \cup (B(\mathbf{u}_2) \triangle B(\mathbf{u}_3))$ contains at least two codewords.

# 2 On  $\ell$ -set-identifying codes with  $\ell = 1$

In this section, we study 1-set-identifying codes in two families of graphs. We first start by considering identifying codes in  $\mathcal{E}_r$ . Then we examine identifying codes in a graph similar to the king grid. The identifying codes in this graph also provide optimal identifying codes for certain graphs  $\mathcal{E}_r$ .

### 2.1 Identifying codes in the graphs  $\mathcal{E}_r$

In what follows, we construct a 1-set-identifying code for the graph  $\mathcal{E}_r$ , when  $r > 1$  is an arbitrary real number, and also provide a lower bound on the density of such codes. For the considerations, we define the horizontal line as  $L_i^{(h)} = \{(x', i) \mid x' \in \mathbb{Z}\}\$ and the vertical line as  $L_i^{(v)} = \{(i, y') \mid y' \in \mathbb{Z}\}\$ , where *i* is an integer. We also define the *diagonal with slope*  $-1$  as  $D_i^{(n)} = \{(x', y') \in$  $\mathbb{Z}^2 | x' + y' = i$  and the *diagonal with slope* 1 as  $D_i^{(p)} = \{(x', y') \in \mathbb{Z}^2 | x' - y' = 0\}$ i. If **u** is a vertex in  $\mathbb{Z}^2$  and X is a subset of  $\mathbb{Z}^2$ , then the sum of **u** and X is defined as  $\mathbf{u} + X = {\mathbf{u} + \mathbf{v} \mid \mathbf{v} \in X}$ . We first present the following technical lemma. The results (ii), (iii) and (iv) in the lemma are estimates (not always sharp), which are enough for our purposes in Section 3.

**Lemma 2.1.** Let  $\mathbf{u} = (x, y)$  be a vertex in  $\mathbb{Z}^2$  and  $r \geq 1$  be a real number.

- (i) In  $B(x, y)\ B(x, y-1)$  there exist  $2|r|+1$  vertices, which lie on consecutive vertical lines  $L_i^{(v)}$  with  $i = x - \lfloor r \rfloor, \ldots, x + \lfloor r \rfloor$ .
- (ii) In  $B(x, y) \setminus B(x 1, y 1)$  there exist  $4|r/\sqrt{2}| + 1$  vertices, which lie on  $\lim_{n \to \infty} D(x, y) \setminus D(x - 1, y - 1)$  where exist  $\pm [t/\sqrt{2}] + 1$  because, which<br>consecutive diagonals  $D_i^{(p)}$  with  $i = x - 2\lfloor r/\sqrt{2} \rfloor, ..., x + 2\lfloor r/\sqrt{2} \rfloor$ .
- (iii) In  $B(x, y) \ B((x, y-1), (x+1, y))$  there exist  $|r(1-1/$ √  $\overline{2})$  vertices, which lie on consecutive vertical lines  $L_i^{(v)}$  with  $i = x - \lfloor r \rfloor, \ldots, x - \lfloor r \rfloor + \lfloor r(1 - \frac{1}{n}) \rfloor$  $1/\sqrt{2}$  $|-1$ .

(iv) In  $B(x, y) \ B((x-1, y-1), (x+1, y-1))$  there exist  $2|r(1)$ √  $2-1/2$ )  $-1$  vertices, which lie on consecutive diagonals  $D_i^{(p)}$  with  $i = x-2\lfloor r\rfloor$ √  $2, \ldots, x 2|r$  $^{\alpha s, \beta}$  $2|+2|r(1/$  $\frac{n}{\lambda}$  $|2 - 1/2| - 2.$ 

*Proof.* (i) Moving the center  $\mathbf{u} = (x, y)$  of a ball to  $(x, y - 1)$  means that u covers on  $L_i^{(v)}$   $(i = x - \lfloor r \rfloor, \ldots, x + \lfloor r \rfloor)$  exactly one vertex of  $\mathbb{Z}^2$  which is not covered by  $(x, y - 1)$ , since the second coordinate decreases by one. The case (ii) is analogous. (iii) Suppose  $r \geq 4$ , otherwise the claim is trivial. Denote  $Q_2^{(l)} = \{(-a, b) \in \mathbb{Z}^2 \mid 0 \le a, 0 \le b \le a\}.$  It is easy to check that the vertices of  $\mathbf{u} + Q_2^{(l)}$  which are covered by  $(x + 1, y)$  belong to  $B(x, y - 1)$  also. Therefore, in  $\mathbf{u} + Q_2^{(l)}$ , it is enough to consider the vertices, that  $\mathbf{u} = (x, y)$  covers but  $(x, y - 1)$  does not. We obtain the claim using (i) for the consecutive vertical  $\sum_{i=1}^{n} L_i^{(v)}$  for  $x - r \leq i \leq x - r/\sqrt{2}$ . The case (iv) is again similar (non-trivial for  $r \geq 5$ ).  $\Box$ 

Notice that analogous results to the previous lemma hold when the considered patterns are rotated by  $\pi/2$ ,  $\pi$  and  $3\pi/2$ . For example, when the pattern in (i) is rotated anti-clockwise by  $\pi/2$ , we have that the set  $B(x, y) \setminus B(x + 1, y)$ contains vertices on  $2|r| + 1$  consecutive horizontal lines.

For the construction of the identifying codes in  $\mathcal{E}_r$ , we first introduce the following sets of vertices

$$
C^{(h)} = \{(j, 0) \in \mathbb{Z}^2 \mid j \equiv 0 \bmod 2\}
$$

and

$$
C^{(v)} = \{ (0, j) \in \mathbb{Z}^2 \mid j \equiv 0 \bmod 2 \}.
$$

Define then a code  $C_k$  as follows:

$$
C_k = \bigcup_{i \in \mathbb{Z}} \left( (C^{(h)} + (0, i \cdot 2k)) \cup (C^{(h)} + (1, k + i \cdot 2k)) \right)
$$

$$
\bigcup_{i \in \mathbb{Z}} \left( (C^{(v)} + (i \cdot 2k, 0)) \cup (C^{(v)} + (k + i \cdot 2k, 1)) \right),
$$

where  $k \in \mathbb{Z}$  and  $k \geq 1$ . The following theorem shows that the previous code  $C_k$  provides a 1-set-identifying code for the graph  $\mathcal{E}_r$ .

**Theorem 2.2.** Let  $r \geq 1$  be a real number.

- (i) If  $r^2 \lfloor r \rfloor^2 \geq 1$ , then the code  $C_{2\lfloor r \rfloor + 1}$  is identifying in  $\mathcal{E}_r$ .
- (ii) If  $r^2 |r|^2 < 1$ , then the code  $C_{2|r|}$  is identifying in  $\mathcal{E}_r$ .

*Proof.* (i) Let  $\mathbf{u} = (x, y)$  be a vertex in  $\mathbb{Z}^2$ . Assume first that  $r^2 - |r|^2 \geq 1$ . This assumption implies that the vertices  $(x-[r], y-1)$ ,  $(x-[r], y+1)$ ,  $(x+[r], y-1)$ and  $(x+|r|, y+1)$  belong to  $B(\mathbf{u})$ . Therefore, the set  $\{(i, j) \in \mathbb{Z}^2 \mid x - |r| \leq j \leq d\}$  $i \leq x + |r|, y - 1 \leq j \leq y + 1$  is a subset of  $B(u)$ . By the construction of  $C_{2|r|+1}$ , one of the  $2[r]+1$  consecutive vertical lines is such that every other vertex in the line is a codeword. Hence, the ball  $B(\mathbf{u})$  contains a codeword. In other words, each vertex in  $\mathbb{Z}^2$  is covered by a codeword.

Let  $\mathbf{v} = (x + x', y + y')$  be a vertex in  $\mathbb{Z}^2$  and  $\mathbf{v} \neq \mathbf{u}$ . Consider then the symmetric difference  $B(\mathbf{u}) \Delta B(\mathbf{v})$ . In order to prove that  $C_{2|r|+1}$  is an identifying code in  $\mathcal{E}_r$ , we have to show that this symmetric difference always contains a codeword. Without loss of generality, we can assume that  $x' \geq 0$  and  $y' \geq 0$ . (Other cases are analogous.) If  $B(\mathbf{u}) \cap B(\mathbf{v}) = \emptyset$ , then we are done. Hence, assume that  $B(\mathbf{u}) \cap B(\mathbf{v}) \neq \emptyset$ .

Assume first that  $x' \geq 2$  or  $y' \geq 2$ . Let  $y' \geq 2$  (the other case is analogous). Denote then  $\mathbf{u}' = (x, y + y')$  and  $\mathbf{v}' = (x + x', y')$ . Using similar arguments as in the proof of Lemma 2.1 part (i), we conclude that each vertical line  $L_i^{(v)}$ with  $x - |r| \leq i \leq x + |x'/2|$  contains two consecutive vertices in  $B(\mathbf{u}) \setminus B(\mathbf{u}')$ . (Recall that  $r^2 - |r|^2 \ge 1$ .) Clearly, these same points are also included in  $B(\mathbf{u}) \setminus B(\mathbf{v})$ . By symmetry, we can show that each vertical line  $L_i^{(v)}$  with  $x + \lfloor x'/2 \rfloor \leq i \leq x + x' + \lfloor r \rfloor$  contains two consecutive vertices in  $B(\mathbf{v}) \setminus B(\mathbf{u})$ . We have shown that each vertical line  $L_i^{(v)}$  with  $x - \lfloor r \rfloor \le i \le x + x' + \lfloor r \rfloor$ contains two consecutive vertices in  $B(\mathbf{u}) \triangle B(\mathbf{v})$ . Therefore, we conclude that there exists a codeword in  $B(\mathbf{u}) \triangle B(\mathbf{v})$ .

Assume now that  $x' \leq 1$  and  $y' \leq 1$ . Then we have the following cases to consider:

- 1) Assume that  $x' = 0$  and  $y' = 1$ . Let  $L_k^{(v)}$  $\mathbf{k}^{(v)}$  be a vertical line with  $x - \lfloor r \rfloor \leq$  $k \leq x + \lfloor r \rfloor$ . By Lemma 2.1(i), the set  $L_k^{(v)} \cap (B(\mathbf{v}) \setminus B(\mathbf{u}))$  is nonempty. Let  $\mathbf{w} = (k, y+1+a) \in \mathbb{Z}^2$  be a vertex in  $B(\mathbf{v}) \setminus B(\mathbf{u})$ . Then, by symmetry, a vertex  $\mathbf{w}' = (k, y - a) \in \mathbb{Z}^2$  belongs to  $B(\mathbf{u}) \setminus B(\mathbf{v})$ . Since the Euclidean distance between **w** and **w'** is equal to  $2a + 1$ , the parity of the second coordinates of the vertices  $w$  and  $w'$  are different. Therefore, since one of the vertical lines  $L_i^{(v)}$  with  $x - \lfloor r \rfloor \leq i \leq x + \lfloor r \rfloor$  is such that every other vertex in the line is a codeword, the symmetric difference  $B(\mathbf{u}) \triangle B(\mathbf{v})$ contains a codeword.
- 2) If  $x' = 1$  and  $y' = 0$ , then the proof goes exactly like in the case 1); just replace the vertical lines by horizontal ones.
- 3) Assume now that  $x' = 1$  and  $y' = 1$ . Let  $\mathbf{w} = (k, y + 1 + a) \in L_k^{(v)}$  $\mathop{k\atop k} \limits^{(v)},$ where  $x - \lfloor r \rfloor \le k \le x$ , be a vertex such that  $\mathbf{w} \in B(x, y + 1) \setminus B(x, y)$ . By symmetry, the vertex  $\mathbf{w}' = (k, y - a)$  belongs to  $B(x, y) \setminus B(x, y + 1)$ . Since  $k \leq x$ , the vertex  $\mathbf{w}' \in B(x, y) \setminus B(x + 1, y + 1)$ . If  $\mathbf{w} \in B(x + 1, y + 1)$  $1) \backslash B(x, y)$ , then the vertical line  $L_k^{(v)}$  $k^{(v)}_k$  contains two vertices (**w** and **w**') in  $B(\mathbf{u}) \triangle B(\mathbf{v})$  such that the parity of their second coordinates are different. Assume then that  $\mathbf{w} \notin B(x+1, y+1) \setminus B(x, y)$ . Hence, by symmetry, the vertex  $\mathbf{w}'' = (k, y + 1 - a) \in B(x, y) \setminus B(x + 1, y + 1)$ . Clearly, the parity of the second coordinates of  $w'$  and  $w''$  are different. Analogous arguments also apply, when we are considering the vertical lines  $L_k^{(v)}$  with  $x+1 \leq k \leq x+1+\lfloor r \rfloor$ . Hence, each line  $L_i^{(v)}$  with  $x-\lfloor r \rfloor \leq i \leq x+1+\lfloor r \rfloor$ contains two vertices in  $B(\mathbf{u}) \triangle B(\mathbf{v})$  such that the parity of the second coordinates of the vertices are different. Thus, there exists a codeword in  $B(\mathbf{u}) \triangle B(\mathbf{v}).$

In conclusion, we have shown that  $C_{2|r|+1}$  is an identifying code in  $\mathcal{E}_r$  when  $r^2 - |r|^2 \geq 1.$ 

(ii) Let again  $\mathbf{u} = (x, y)$  be a vertex in  $\mathbb{Z}^2$ . Assume then that  $r^2 - |r|^2 < 1$ . Define the set  $A = \{(i, j) \in \mathbb{Z}^2 \mid x - |r| \le i \le x + |r|, y - 1 \le j \le y\} \setminus \{(x |r|, y-1|, (x+|r|, y-1)$ . Let us then show that the set A contains a codeword

of  $C_{2\lfloor r\rfloor}$ . If a vertical line  $L_i^{(v)}$  with  $x-\lfloor r\rfloor+1\leq i\leq x+\lfloor r\rfloor-1$  is such that every other vertex in the line is a codeword, then we are clearly done. Otherwise, we know that the vertical lines  $L_{x-}^{(v)}$  $_{x-|r|}^{(v)}$  and  $L_{x+}^{(v)}$  $\frac{v}{x+|r|}$  are such that every other vertex in the lines is a codeword. Hence, by the construction of  $C_{2|r}$ , either the vertex  $(x-|r|, y)$  or  $(x+|r|, y)$  is a codeword. Since  $A \subseteq B(u)$ , the word u is covered by a codeword.

Let  $\mathbf{v} = (x + x', y + y')$  be a vertex in  $\mathbb{Z}^2$  and  $\mathbf{v} \neq \mathbf{u}$ . We need to show that the symmetric difference  $B(\mathbf{u}) \triangle B(\mathbf{v})$  contains a codeword (when  $B(\mathbf{u}) \cap B(\mathbf{v}) \neq \emptyset$ ). Without loss of generality, we can assume that  $x' \geq 0$  and  $y' \geq 0$ . If  $(x' = 0$  and  $y' = 1$  or  $(x' = 1$  and  $y' = 0$ , then the proof goes exactly as in the cases 1) and 2) of the part (i), respectively. Assume that  $x' = 0$  and  $y' \ge 2$ . If now a vertical line  $L_i^{(v)}$  with  $x - \lfloor r \rfloor + 1 \le i \le x + \lfloor r \rfloor - 1$  is such that every other vertex in the line is a codeword, then we are done. Otherwise, either the vertex  $(x-|r|, y)$  or  $(x + |r|, y)$  in  $B(\mathbf{u}) \triangle B(\mathbf{v})$  is a codeword. Therefore,  $I(\mathbf{u}) \triangle I(\mathbf{v}) \neq \emptyset$ . Similar arguments also apply when  $x' \geq 2$  and  $y' = 0$ . If  $x' = 1$  and  $y' = 1$ , then the proof goes exactly as in the previous case 3), but we just consider the  $2\lfloor r \rfloor$ consecutive vertical lines  $L_i^{(v)}$  with  $x - \lfloor r \rfloor + 1 \le i \le x + \lfloor r \rfloor$ . If  $x' \ge 1$  and  $y' \ge 2$ , then the proof is similar to the third paragraph of the proof of the part (i), but we just consider the vertical lines  $L_i^{(v)}$  with  $x - \lfloor r \rfloor + 1 \le i \le x + x' + \lfloor r \rfloor - 1$ . The case with  $x' \geq 2$  and  $y' \geq 1$  goes the same way as the previous one. In conclusion, we have shown that  $C_{2|r|}$  is an identifying code in  $\mathcal{E}_r$  when  $r^2 - |r|^2 < 1$ .  $\Box$ 

It is easy to conclude that the density of the code  $C_k$  satisfies  $D(C_k) \leq 1/k$ . Therefore, by the previous theorem, we have shown that for any real number  $r > 1$  there exists an identifying code C such that the density

$$
D(C) \le \frac{1}{2 \lfloor r \rfloor}.
$$

For small values of  $r$ , there exist identifying codes with smaller densities. Indeed, since  $\mathcal{E}_{\sqrt{2}} = \mathcal{K}_1$  and  $\mathcal{E}_{2\sqrt{2}} = \mathcal{K}_2$ , we have optimal identifying codes in  $\mathcal{E}_{\sqrt{2}}$  and  $\mathcal{E}_{2\sqrt{2}}$  with densities 2/9 and 1/8, respectively (see [5]). Recall that  $\mathcal{E}_1 = \mathcal{S}_1$  and  $\mathcal{E}_2 = \mathcal{S}_2$ . It has been shown in [6] that there exists an identifying code with density  $7/20$  in  $S_1$ . Moreover, it was proved in [2] that there is no identifying codes in  $S_1$  with smaller density. There exists an identifying code in  $S_2$  with density  $5/29$  (see [13]). In [4], it has been shown that there does not exist an identifying code in  $S_2$  with density smaller than 3/20.

Consider then a lower bound on the density of an identifying code in  $\mathcal{E}_r$ . In order to provide a lower bound, we first need to present an auxiliary theorem. This theorem is a rephrased version of [11, Theorem 5]. For completeness, we have also included the proof.

**Theorem 2.3.** Assume that  $C \subseteq \mathbb{Z}^2$  is a code. Let  $S = \{s_1, s_2, \ldots, s_k\}$  be a subset containing k different points of  $\mathbb{Z}^2$ . For each  $i = 1, 2, ..., k$  we choose a real number  $w_i > 0$ , which we call the weight of  $s_i$  and denote by  $w(s_i)$ . For all subsets A of S we define

$$
w(A) = \sum_{\mathbf{a} \in A} w(\mathbf{a}).
$$

If for all  $\mathbf{v} \in \mathbb{Z}^2$  we have  $w((\mathbf{v} + C) \cap S) \geq 1$ , then the density of C satisfies

$$
D(C) \geq \frac{1}{w_1 + w_2 + \cdots + w_k}.
$$

*Proof.* Since S is finite, we can choose a constant h such that  $S \subseteq T_h$ . Consider *troof.* Since S is finite, we can choose a constant h such that  $S \subseteq I$  then the sum  $\sum_{\mathbf{v} \in T_{n-h}} w((\mathbf{v} + C) \cap S)$ , where  $n > h$ . Now we have

$$
|T_{n-h}| \leq \sum_{\mathbf{v}\in T_{n-h}} w((\mathbf{v}+C)\cap S) \leq \sum_{i=1}^k w_i f_i(n), \qquad (1)
$$

where  $f_i(n)$  denotes the number of pairs  $(c, v)$  such that  $c \in C$ ,  $v \in T_{n-h}$  and  $s_i = v + c$ . Since  $v \in T_{n-h}$  and  $s_i \in T_h$ , we know that  $c = s_i - v \in T_n$ . Hence, there at most  $|C \cap T_n|$  choices for **c**. Furthermore, for every **c** there is at most one possible choice for  $\mathbf{v} \in T_{n-h}$  such that  $\mathbf{s}_i = \mathbf{c} + \mathbf{v}$ . Therefore,  $f_i(n) \leq |C \cap T_n|.$ 

Combining this result with the equation (1), we have

$$
|T_{n-h}| \le (w_1 + w_2 + \dots + w_k)|C \cap T_n|.
$$

Thus,

$$
\frac{|C \cap T_n|}{|T_n|} \ge \frac{|T_{n-h}|}{|T_n|} \cdot \frac{1}{w_1 + w_2 + \dots + w_k}.
$$

The claim immediately follows from this equation, since  $|T_{n-h}|/|T_n| \to 1$  when  $n \to \infty$ .  $\Box$ 

In what follows, we prove a lower bound on the density of an identifying code in  $\mathcal{E}_r$ . The lower bound is actually attained for some graphs  $\mathcal{E}_r$  (see Theorem 2.7).

**Theorem 2.4.** If  $C \subseteq \mathbb{Z}^2$  is an identifying code in  $\mathcal{E}_r$ , then the density satisfies

$$
D(C) \ge \frac{3}{4\lfloor r \rfloor + 4\lfloor b \rfloor + 4\left\lfloor \sqrt{r^2 - (\lfloor b \rfloor + 1)^2} \right\rfloor + 8},
$$

where  $b = -1/2 + 1/2$ . √  $\sqrt{2r^2-1}$ .

*Proof.* Let  $C \subseteq \mathbb{Z}^2$  be an identifying code in  $\mathcal{E}_r$ . Denote  $\mathbf{u}_1 = (0,0)$ ,  $\mathbf{u}_2 =$  $(-1, 0)$ ,  $\mathbf{u}_3 = (0, -1)$  and  $\mathbf{u}_4 = (-1, -1)$ . Define then the set

$$
H = (B(\mathbf{u}_1) \triangle B(\mathbf{u}_2)) \cup (B(\mathbf{u}_1) \triangle B(\mathbf{u}_3)) \cup (B(\mathbf{u}_1) \triangle B(\mathbf{u}_4))
$$
  

$$
\cup (B(\mathbf{u}_2) \triangle B(\mathbf{u}_3)) \cup (B(\mathbf{u}_2) \triangle B(\mathbf{u}_4)) \cup (B(\mathbf{u}_3) \triangle B(\mathbf{u}_4))
$$

and  $H'$  as the set of vertices that belong to  $H$  and are covered by exactly two of the vertices  $\mathbf{u}_1$ ,  $\mathbf{u}_2$ ,  $\mathbf{u}_3$  and  $\mathbf{u}_4$ .

Notice that if  $\mathbf{v} \in H \setminus H'$ , then v is covered by exactly one or three of the vertices  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  and  $\mathbf{u}_4$ . If a codeword  $\mathbf{c} \in C$  belongs to  $H \setminus H'$ , then, by Theorem 1.2, there exist at least three codewords in  $H$ . On the other hand, if there does not exist any codewords in  $H \setminus H'$ , then there clearly exist at least two codewords in  $H'$ .

Using the notations of Theorem 2.3, we choose  $S = H$ . The weight of a vertex  $s \in H$  is now defined as follows: if  $s \in H'$ , then  $w(s) = 1/2$ , else  $w(s) = 1/3$ . By the considerations in the previous paragraph, we conclude that for every  $\mathbf{v} \in \mathbb{Z}^2$  we have  $w((\mathbf{v} + C) \cap H) \geq 1$ . By Theorem 2.3, we have

$$
D(C) \ge \frac{1}{1/2 \cdot |H'| + 1/3 \cdot (|H| - |H'|)} = \frac{3}{|H| + 1/2 \cdot |H'|}.
$$

For the lower bound, it is now enough to calculate the number of vertices in  $H$ and  $H'$ .

For the calculations, define the set  $Q = \{(x, y) \in \mathbb{Z}^2 \mid x \geq 0, y \geq 0\}$ . It is clear that a vertex  $\mathbf{u} \in Q \cap H$  if and only if  $\mathbf{u} \in B(0,0) \setminus B(-1,-1)$  and  $\mathbf{u} \in Q$ . Now, by straightforward computations, we have that the number of vertices in  $Q \cap H$  is equal to

$$
\sum_{i=0}^{\lfloor r\rfloor-1} \left( \left\lfloor \sqrt{r^2 - i^2} \right\rfloor - \left\lfloor \sqrt{r^2 - (i+1)^2} - 1 \right\rfloor \right) + \left\lfloor \sqrt{r^2 - \lfloor r \rfloor^2} \right\rfloor + 1 = 2\lfloor r \rfloor + 1.
$$

Therefore, by symmetry, the number of vertices in H is equal to  $4(2|r| + 1) =$  $8|r| + 4.$ 

Consider then the number of vertices in  $H'$ . It is easy to see that the circles of radius r centered at the points  $(-1,0)$  and  $(0,-1)$  intersect each other in the point  $(b, b)$ , where  $b = -1/2 + 1/2 \cdot \sqrt{2r^2 - 1}$ . Define then the set  $Q_b$  $\{(x,y)\in\mathbb{Z}^2\mid 0\leq x\leq b, y\geq 0\}$ . It is clear that a vertex  $\mathbf{u}\in Q_b\cap H'$  if and only if  $u \in (B(0,0) \cup B(-1,0)) \setminus (B(0,-1) \cup B(-1,-1))$  and  $u \in Q_b$ . Hence, by straightforward computations, we have that the number of vertices in  $Q_b \cap H'$ is equal to

$$
\sum_{i=0}^{\lfloor b\rfloor} \left( \left\lfloor \sqrt{r^2 - (i+1)^2} \right\rfloor - \left\lfloor \sqrt{r^2 - i^2} - 1 \right\rfloor \right) = \left\lfloor \sqrt{r^2 - (\lfloor b\rfloor + 1)^2} \right\rfloor + \lfloor b\rfloor - \lfloor r\rfloor + 1.
$$

Therefore, by symmetry, the number of vertices in  $H'$  is equal to

$$
8\left(\left\lfloor\sqrt{r^2-(\lfloor b\rfloor+1)^2}\right\rfloor+\lfloor b\rfloor-\lfloor r\rfloor+1\right).
$$

Thus, we obtain the lower bound on the density

$$
D(C) \ge \frac{3}{4[r] + 4[b] + 4\left[\sqrt{r^2 - (\lfloor b \rfloor + 1)^2}\right] + 8}.
$$

 $\Box$ 

Let us then consider more closely the lower bound given by the previous theorem. As in the theorem, let  $C \subseteq \mathbb{Z}^2$  be an identifying code in  $\mathcal{E}_r$  and denote  $b = -1/2 + 1/2 \cdot \sqrt{2r^2 - 1}$ . Denote further  $\lfloor b \rfloor = k \in \mathbb{Z}$ . Since now  $b < k + 1$ , we have that  $r < \sqrt{1/2 \cdot (2k + 3)^2 + 1/2}$ . Therefore, we have

$$
\sqrt{r^2 - (\lfloor b \rfloor + 1)^2} \le \sqrt{\left(\sqrt{1/2 \cdot (2k + 3)^2 + 1/2}\right)^2 - (\lfloor b \rfloor + 1)^2} = k + 2.
$$

Hence, we further obtain that  $\sqrt{r^2 - (|b| + 1)^2}$  $\leq$  |b| + 1. Thus, the denominator of the lower bound can be estimated as follows: k

$$
4[r] + 4[b] + 4\left[\sqrt{r^2 - (\lfloor b \rfloor + 1)^2}\right] + 8 \le 4[r] + 8[b] + 12 \le 4(\sqrt{2} + 1)r + 12.
$$

Therefore, we have the following approximation for the lower bound on the density of an identifying code C in  $\mathcal{E}_r$ :

$$
D(C) \ge \frac{3}{4(\sqrt{2}+1)r+12} \ge \frac{1}{3,22r+4}.
$$

### 2.2 Identifying codes in the king grids without corners

In this section, we consider 1-set-identification in a graph closely related to the king grid. These considerations provide two optimal identifying codes in  $\mathcal{E}_r$ , as is shown in Theorem 2.7. The vertex set V is again equal to  $\mathbb{Z}^2$ . Let then t be a positive integer and  $\mathbf{u} = (x, y)$  be a vertex in  $\mathbb{Z}^2$ . The edge set E of the considered graph  $\mathcal{K}'_t$  is such that

$$
B(\mathcal{K}'_t; \mathbf{u}) = B(\mathcal{K}_t; \mathbf{u}) \setminus \{(x+t, y+t), (x+t, y-t), (x-t, y+t), (x-t, y-t)\}.
$$

The graph  $K'_t$  is called the *king grid without corners*. Notice that  $K'_1 = S_1$ . As was mentioned in Section 2.1, there exists an optimal identifying code in  $S_1$ with density 7/20.

Define a code

$$
C_t = \bigcup_{i \in \mathbb{Z}} \{ (2t \cdot i + \alpha, \alpha) \mid \alpha \in \mathbb{Z} \text{ and } \alpha \text{ is even} \}.
$$

The code  $C_t$  is illustrated in Figure 1 when  $t = 2$ . Clearly, the density  $D(C_t)$  is equal to  $1/(4t)$ . It has been shown in [5] that  $C_t$  is an optimal identifying code in  $\mathcal{K}_t$ . The following theorem shows that  $C_t$  is also an identifying code in  $\mathcal{K}_t'$ notice that now the ball in  $\mathcal{K}'_t$  is smaller than the one in  $\mathcal{K}_t$ ! In Theorem 2.6, we prove that there does not exist identifying codes in  $\mathcal{K}'_t$  with lower density.

**Theorem 2.5.** Let  $t \geq 2$  be an integer. Then the code  $C_t$  is identifying in  $\mathcal{K}'_t$ . *Proof.* Let  $\mathbf{w} = (x, y)$  be a vertex in  $\mathbb{Z}^2$ . Define then sets

$$
A_h(\mathbf{w}) = \{(i, j) \in \mathbb{Z}^2 \mid x \le i \le x + 2t - 1, y \le j \le y + 1\}
$$

and

$$
A_v(\mathbf{w}) = \{(i, j) \in \mathbb{Z}^2 \mid x \le i \le x + 1, y \le j \le y + 2t - 1\}.
$$

Let *i* be a integer. If *i* is even, then the horizontal line  $L_i^{(h)}$  is such that one of the 2t consecutive vertices in the line is a codeword of  $C_t$ . The same also holds for the vertical lines. Thus, the sets  $A_h(\mathbf{w})$  and  $A_v(\mathbf{w})$  both contain at least one codeword.

Let  $\mathbf{u} = (x_1, y_1)$  and  $\mathbf{v} = (x_2, y_2)$  be vertices in  $\mathbb{Z}^2$ . The *I*-set  $I(\mathbf{u})$  is nonempty, since the ball  $B(\mathbf{u})$  contains the set  $A_h(\mathbf{w})$  with a suitable choice of w, when  $t \geq 2$ . In order to prove the claim, we have to show that the symmetric difference  $B(\mathbf{u}) \triangle B(\mathbf{v})$  always contains a codeword. Assume first that  $|x_1 - x_2| \geq 3$  or  $|y_1 - y_2| \geq 3$ . Then the symmetric difference  $B(\mathbf{u}) \triangle B(\mathbf{v})$ contains the set  $A_v(\mathbf{w})$  or  $A_h(\mathbf{w})$ . Thus,  $I(\mathbf{u}) \triangle I(\mathbf{v}) \neq \emptyset$ .

Assume now that  $|x_1-x_2| \leq 2$  and  $|y_1-y_2| \leq 2$ . Then we have the following cases to consider (other cases are analogous):

1) Assume that  $\mathbf{v} = (x_1 + 1, y_1)$  or  $\mathbf{v} = (x_1 + 2, y_1)$ . Denote  $X_1 = \{(x_1 - x_1)$  $t, y_1 - t + 1$ ,  $(x_1 - t, y_1 - t + 2)$ , ...,  $(x_1 - t, y_1 + t - 1)$ } and  $X_2 = \{(x_1 + t +$  $1, y_1 - t + 1$ ,  $(x_1 + t + 1, y_1 - t + 2)$ , ...,  $(x_1 + t + 1, y_1 + t - 1)$ . It is easy to see that  $X_1, X_2 \subseteq B(\mathbf{u}) \triangle B(\mathbf{v})$  and  $(x_1 - t + 1, y_1 - t), (x_1 + t, y_1 + t) \in$  $B(\mathbf{u}) \triangle B(\mathbf{v})$ . Assume first that  $x_1 - t$  is even. Then, by the previous considerations, either  $X_1$  contains a codeword or the vertex  $(x_1 - t, y_1 - t)$ is a codeword. If  $X_1$  contains a codeword, we are done. Otherwise, the vertex  $(x_1 - t, y_1 - t)$  is a codeword. Therefore, by the construction of  $C_t$ , the vertex  $(x_1 - t + 2t, y_1 - t + 2t) = (x_1 + t, y_1 + t)$  is a codeword. Assume then that  $x_1 - t$  is odd. Hence,  $x_1 + t + 1$  is clearly even. The proof is now similar to the first case.

- 2) Assume that  $\mathbf{v} = (x_1 + 1, y_1 + 1)$  or  $\mathbf{v} = (x_1 + 2, y_1 + 2)$ . Denote  $Y_1 = X_1$ and  $Y_2 = (0,1) + X_2$ . It is easy to see that  $Y_1, Y_2 \subseteq B(\mathbf{u}) \triangle B(\mathbf{v})$  and  $(x_1-t+1, y_1-t+1), (x_1+t, y_1+t) \in B(\mathbf{u}) \triangle B(\mathbf{v})$ . Assume first that  $x_1-t$ is even. If  $Y_1$  contains a codeword, we are done. Otherwise, the vertex  $(x_1-t, y_1-t)$  is a codeword. Therefore, the vertex  $(x_1-t+2t, y_1-t+2t)$  =  $(x_1 + t, y_1 + t)$  is a codeword. If  $x_1 - t$  is odd, then  $x_1 + t + 1$  is even and the proof is similar to the first case.
- 3) Assume that  $\mathbf{v} = (x_1 + 2, y_1 + 1)$ . The proof is now analogous to the case 2).

In conclusion, we have shown that the symmetric difference  $I(\mathbf{u}) \triangle I(\mathbf{v})$  is always nonempty. Hence, the claim follows.  $\Box$ 

The following theorem provides a lower bound on the density of an identifying code in  $\mathcal{K}'_t$ .

**Theorem 2.6.** If C is an identifying code in  $K'_t$ , then the density

$$
D(C) \ge \frac{1}{4t}.
$$

*Proof.* Let C be an identifying code in  $\mathcal{K}'_t$ . Define the vertices  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3, \mathbf{u}_4 \in \mathbb{Z}^2$ and the sets  $H, H' \subseteq \mathbb{Z}^2$  as in the proof of Theorem 2.4. Using similar arguments as in the proof of Theorem 2.4, we have

$$
D(C) \ge \frac{3}{|H| + 1/2 \cdot |H'|}
$$

It is easy to calculate that  $|H| = 8t + 4$  and  $|H'| = 4(2t - 2)$ . Therefore,

$$
D(C) \ge \frac{3}{8t + 4 + 1/2 \cdot 4(2t - 2)} = \frac{1}{4t}.
$$

.

 $\Box$ 

In conclusion, we have shown that  $C_t$  is an optimal identifying code in  $\mathcal{K}'_t$ . Hence, we have the following theorem concerning identifying codes in  $\mathcal{E}_r$ , where  $r = \sqrt{5}$  or  $r = \sqrt{13}$ .

**Theorem 2.7.** The codes  $C_2$  and  $C_3$  are optimal identifying codes in  $\mathcal{E}_{\sqrt{5}}$  and  $\mathcal{E}_{\sqrt{13}}$ , respectively.

*Proof.* The claim immediately follows from the fact that  $\mathcal{E}_{\sqrt{5}} = \mathcal{K}'_2$  and  $\mathcal{E}_{\sqrt{13}} =$  $\mathcal{K}'_3$ .

# 3 On  $\ell$ -set-identifying codes with  $\ell > 1$

Let  $r > 1$  be a real number and let  $\mathbb{Z}_+$  denote the set of positive integers. In what follows, we show that there exists a 2-set-identifying code  $C_r$  in  $\mathcal{E}_r$  such that the density satisfies  $D(C_r) = \Theta(1/r)$ . We also prove that the density of a 2-set-identifying code in  $\mathcal{E}_r$  is always at least  $1/(2|r| + 1)$ . In Theorem 3.2, we consider for which r a 3-set-identifying code can exist in  $\mathcal{E}_r$ . Theorem 3.3 shows that there does not exist a 4-set-identifying code in  $\mathcal{E}_r$  for any r.

The following theorem considers 2-set-identifying codes in  $\mathcal{E}_r$ .

**Theorem 3.1.** Let  $C_r$  be a 2-set-identifying code in  $\mathcal{E}_r$ ,  $r \geq 1$ . Then  $C_r$  satisfies  $D(C_r) \geq \frac{1}{2|r|+1}$ . Moreover, there exists a sequence of 2-set-identifying codes  $C_r$ such that  $\overline{D}(C_r) = \Theta(\frac{1}{r}).$ 

*Proof.* Let  $C_r$  be any 2-set-identifying code in  $\mathcal{E}_r$ . The lower bound  $D(C_r) \geq$  $1/(2|r| + 1)$  comes from comparing the sets  $B(\mathbf{x})$  and  $B(\mathbf{x}, \mathbf{x} + (1, 0))$ , where  $\mathbf{x} \in \mathbb{Z}^2$ . By Lemma 2.1(i)  $|B(\mathbf{x}) \triangle B(\mathbf{x}, \mathbf{x} + (1, 0))| = 2|r| + 1$  and there must be at least one codeword of  $C_r$  among these vertices. Applying Theorem 2.3 we obtain the result (choose  $S = B(\mathbf{x}) \triangle B(\mathbf{x}, \mathbf{x} + (1, 0))$  and all the weights equal to one). √ √

Let 
$$
r \ge 14
$$
,  $P_1 = \lfloor r(1 - 1/\sqrt{2}) \rfloor$ ,  $P_2 = 2\lfloor r(1/\sqrt{2} - 1/2) \rfloor - 1$  and

 $C_{1,r} = \{(x, y) \in \mathbb{Z}^2 \mid x \equiv 0 \text{ mod } P_1 \text{ or } y \equiv 0 \text{ mod } P_1\}.$ 

Let further

$$
C_{2,r} = \{(x,y) \in \mathbb{Z}^2 \mid x+y \equiv 0 \text{ mod } P_2 \text{ or } x-y \equiv 0 \text{ mod } P_2\}.
$$

We claim that the code

$$
C_r = C_{1,r} \cup C_{2,r}
$$

is 2-set-identifying in  $\mathcal{E}_r$ . Clearly,  $|C| \leq 4/P_1$ .

We need to show that for  $C_r$  we have  $I(X) \neq I(Y)$  for any two sets  $X, Y \subset Y$  $\mathbb{Z}^2$ , where  $X \neq Y$  and  $|X| \leq 2$  and  $|Y| \leq 2$ .

Suppose to the contrary that there exist distinct subsets X and Y of  $\mathbb{Z}^2$  such that

$$
I(X) = I(Y) \tag{2}
$$

where  $|X|, |Y| < 2$ .

Clearly, if X or Y is the emptyset, we get  $I(X) \neq I(Y)$ . Therefore, assume that  $|X| \geq 1$  and  $|Y| \geq 1$ .

Let  $L_1$  (resp.  $L_2$ ) be a horizontal line  $L_i^{(h)}$  where i is such that  $L_i^{(h)}$  contains at least one element of  $X \cup Y$  but for any  $j > i$  (resp.  $j < i$ ) the line  $L_j^{(h)}$ contains no elements of  $X \cup Y$ . Similarly, let  $L_3$  (resp.  $L_4$ ) be a vertical line  $L_i^{(v)}$  where i is such that  $L_i^{(v)}$  contains at least one element of  $X \cup Y$  and for any  $j < i$  (resp.  $j > i$ ) the line  $L_j^{(v)}$  contains no elements of  $X \cup Y$ . Denote by R the set of the vertices that belong to a rectangle or a line segment bordered by the the four lines  $L_1$ ,  $L_2$ ,  $L_3$  and  $L_4$ . Clearly, all the vertices of  $X \cup Y$  belong to R.

Of course, R is a line segment if (and only if)  $L_1 = L_2$  or  $L_3 = L_4$ . Suppose first that this is the case; without loss of generality, let  $L_1 = L_2$ . We can also assume that on (at least) one end of the line segment R there is  $x \in$ 

 $X \triangle Y$ . Without loss of generality, let  $\mathbf{x} \in X$  be on the left end of R. Now, by Lemma 2.1(i) (rotated anti-clockwise by  $\pi/2$ ), we know that  $B(\mathbf{x})$  contains  $2|r|+1$  vertices on consecutive horizontal lines, which  $x+(1,0)$  does not cover. Since none of the vertices  $\mathbf{x} + (a, 0), a \in \mathbb{Z}_+$ , covers them either, the elements of Y cannot cover them. By the definition of  $C_{1,r}$ , the set  $I(C_r; \mathbf{x}) \triangle I(C_r; Y) \neq \emptyset$ . Hence we get a contradiction with (2).

Consequently, we can assume that  $R$  is a rectangle.

1) Suppose first that (at least) one of the four corners of R contains  $\mathbf{x} \in \mathbb{R}$  $X \triangle Y$ . Without loss of generality, we may assume that  $\mathbf{x} \in X$  is in the north-west corner of R.

By Lemma 2.1(iii) there are at least  $P_1$  vertices on consecutive vertical lines in  $B(\mathbf{x}) \setminus B(\mathbf{u}, \mathbf{w})$  where  $\mathbf{u} = \mathbf{x} + (0, -1)$  and  $\mathbf{w} = \mathbf{x} + (1, 0)$ . It is easy to verify that none of these  $P_1$  vertices is covered by any vertex in  $S = {\mathbf{x} + (a, -b) \in \mathbb{R}^3}$  $\mathbb{Z}^2 \mid a \geq 0, b \geq 0, (a, b) \neq (0, 0) \}.$  Since  $Y \subseteq S$ , these  $P_1$  vertices belong to  $B(\mathbf{x}) \setminus B(Y)$ . Now the code  $C_{2,r}$  guarantees that there is at least one codeword among these  $P_1$  vertices, a contradiction with  $(2)$ .

2) Suppose then that there is no vertices of  $X \cup Y$  in any of the corners of R. Consequently, there must be an element of  $X \cup Y$  on each line  $L_i$ ,  $i = 1, 2, 3, 4$ . Therefore,  $|X| = |Y| = 2$ ; denote  $X = \{x, y\}$  and  $Y = \{u, w\}.$ 

2.1) Assume first that the elements of  $X$  are on two non-intersecting lines, without loss of generality, let  $\mathbf{x} \in L_1$  and  $\mathbf{y} \in L_2$ . Assume further  $\mathbf{u} \in L_3$  and  $\mathbf{w} \in L_4.$ 

By Lemma 2.1(iv), there are  $P_2$  vertices of  $B(\mathbf{x}) \cap {\mathbf{x} + (-a, b) \mid a, b \in \mathbb{R}}$  $\mathbb{Z}_+, a \leq b$  on consecutive diagonals, which are neither in  $B(\mathbf{x} + (1, -1))$  nor in  $B(\mathbf{x} + (-1,-1))$ . Again, none of the vertices  $\mathbf{w} \in U = {\mathbf{x} + (a,-b)}$  $a, b \in \mathbb{Z}_+$  can cover these  $P_2$  points. It is also easy to verify that none of the vertices  $\mathbf{u} \in T = \{ \mathbf{x} + (-a, -b) \mid a, b \in \mathbb{Z}_+, a \leq b \}$  can cover these  $P_2$ points either. Consequently, if  $\mathbf{u} \in T$ , the code  $C_{2,r}$  gives the codeword to the set  $I(X) \triangle I(Y)$ , which contradicts (2). Assume then that  $u \notin T$ , that is,  $u = x + (-a, -b)$  where  $a, b \in \mathbb{Z}_+$  and  $a > b$ . In this case, we examine the vertices of  $B(\mathbf{u}) \cap {\mathbf{u} + (-c, d) \mid c, d \in \mathbb{Z}_+, c \geq d}$  which are not covered by  $u + (1, 1)$  and  $u + (1, -1)$  — there are again  $P_2$  of them by a result symmetrical to Lemma 2.1(iv). We observe that neither the vertex  $y = u + (c, -d)$  for any  $c, d \in \mathbb{Z}_+$  nor the vertex  $\mathbf{x} = \mathbf{u} + (a, b)$  cannot cover these  $P_2$  vertices in  $B(\mathbf{u})$ (because the assumption  $a > b$  now gives symmetric situation to the above case  $u \in T$ ). Therefore, there must be a codeword of  $C_{2,r}$  in  $I(X) \triangle I(Y)$  to give the contradiction.

2.2) Assume then that the elements of  $X$  are on two intersecting lines, and without loss of generality, let  $\mathbf{x} \in L_1$ ,  $\mathbf{y} \in L_3$ ,  $\mathbf{u} \in L_2$  and  $\mathbf{w} \in L_4$ . Let  $L_{\mathbf{X}} = {\mathbf{x} + (0, -a) | a \in \mathbb{Z}_+}.$  Again  $\mathbf{w} \in U$ .

If  $u \in T \cup U \cup L_X$ , then the previous arguments give us the contradiction with (2). Indeed, if  $\mathbf{u} \in U \cup L_{\mathbf{X}}$ , the argument of 1) applies although x is not in a corner of R. If  $u \in T$ , the case 2.1) yields the needed contradiction. Therefore, it suffices to assume that  $\mathbf{w} \in U$  and  $\mathbf{u} = \mathbf{x} + (-a, -b)$  where  $a, b \in \mathbb{Z}_+, a > b$ . Now consider y in the role of x. In this case  $u \in U'$ and **w** belong to the area  $T' \cup U' \cup L_{\mathbf{y}}$  where  $T' = {\mathbf{y} + (c, d) | c, d \in \mathbb{Z}_+, c \ge d}$ ,  $U' = \{ y + (c, -d) \mid c, d \in \mathbb{Z}_+ \}$  and  $L_y = \{ y + (c, 0) \mid c \in \mathbb{Z}_+ \}$ . Now the area  $T' \cup U' \cup L$  for y is analogous to  $T \cup U \cup L$  for x. This gives the contradiction to (2).

3) Finally, it suffices to check the case where there is a vertex  $y \in X \cap Y$  in

one of the corners of  $R$ . By 1) we can assume that there is no other vertex of  $X \cup Y$  in any corner. Consequently, we may assume that y is in the south-east corner and  $\mathbf{x} \in L_1$  and  $\mathbf{u} \in L_3$ . This situation goes exactly like in 2.1).  $\Box$ 

The graphs  $\mathcal{K}_t$  and  $\mathcal{E}_r$  have balls of equal size, for example, when  $r = 347$ and  $t = 307$ . In the king grid  $\mathcal{K}_{307}$  the optimal density of a 2-set-identifying code equals 0.25 (see [8]) and by our previous construction we have a 2-setidentifying code in  $\mathcal{E}_{347}$  with density at most 0.0396. Similarly, the square grid  $S_t$  and  $\mathcal{E}_r$  have the same cardinality of vertices in a ball when, for instance,  $r = 385$  and  $t = 482$ . The smallest possible density of a 2-set-identifying code in  $S_{482}$  is at least 0.125 (see [10]) and our construction gives a 2-set-identifying code of density at most 0.0357.

In general, an optimal 2-set-identifying code  $C_t$  in the king grid  $\mathcal{K}_t$ ,  $t \geq 3$ , satisfies  $D(C_t) = 1/4$  (see [8]). Similarly, in the square grid  $S_t$ , we know (by [10]) that  $D(C_t) \geq 1/8$  for any code  $C_t$  which is 2-set-identifying. In  $\mathcal{E}_r$ , however, the density of such codes can be arbitrarily small by the previous theorem. For the 2-set-identifying codes in  $\mathcal{E}_1 = \mathcal{S}_1$ ,  $\mathcal{E}_2 = \mathcal{S}_2$ ,  $\mathcal{E}_{\sqrt{2}} = \mathcal{K}_1$  and  $\mathcal{E}_{2\sqrt{2}} = \mathcal{K}_2$ , we refer to  $[10]$ .

Consider next the  $\ell$ -set-identifying codes in  $\mathcal{E}_r$  when  $\ell = 3$ . Since the sets  $I((1,0),(-1,0))$  and  $I((1,0),(-1,0),(0,0)$  must be distinct and also the sets  $I((-1,-1),(1,1))$  and  $I((-1,-1),(1,1),(0,0))$  must differ, we obtain the following statement for 3-set-identifying codes.

**Theorem 3.2.** Let  $r \in \mathbb{R}$ ,  $r \geq 1$ . If there exists a 3-set-identifying code in  $\mathcal{E}_r$ , then we must have p

$$
\lfloor r \rfloor > \sqrt{r^2 - 1}
$$

and, if  $r \geq$ √ 2, we also must have

$$
\lfloor r/\sqrt{2} \rfloor > \sqrt{r^2/2 - 1}.
$$

By the previous theorem we obtain that  $1, 3, 17, 99, 577, 3363, 19601, \ldots$  are the first values of an *integer r* such that the graphs  $\mathcal{E}_r$  can have 3-set-identifying codes. By [9, Theorem 2], we know that there exists a 3-set-identifying code in  $\mathcal{E}_1 = \mathcal{S}_1$ . However, it remains open whether there exist a 3-set-identifying code in  $\mathcal{E}_r$  for all possible values of r (listed above).

Moreover, if there exists a 3-set-identifying code C in  $\mathcal{E}_r = (V, E)$  for  $r = 3$ or  $r = 17$ , then necessarily  $C = V$  and thus the density equals one due to the fact that

$$
B((-1,-1),(1,2)) \triangle B((-1,-1),(1,2),(0,0)) = \{(2,-2)\}
$$

(only one vertex!) for  $r = 3$  and  $B((-2,-1),(3,1)) \triangle B((-2,-1),(3,1),(0,0)) =$  ${(-8, 15)}$  for  $r = 17$ .

**Theorem 3.3.** Let  $r \geq 1$ . There does not exist an  $\ell$ -set-identifying code in  $\mathcal{E}_r$ for any  $\ell \geq 4$ .

Proof. The claim follows since

$$
B((-1,0),(1,1),(1,-1)) = B((-1,0),(1,1),(1,-1),(0,0))
$$

and thus these sets of three and four vertices cannot be distinguished.

 $\Box$ 

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